

Appendix D

Herefordshire Council 2015-16 Audit Plan

Directorate/Service	Audit Type	Audit Name	Quarter	Status	Opinion	No. of recs	Recommendations				
							1	2	3	4	5
Economy Communities and Corporate	Deferred/Removed	Elections	1	Removed	–	–	–	–	–	–	–
Economy Communities and Corporate	Follow Up	Accounts Payable 2015-16	1	Completed	Non-Opinion	0	0	0	0	0	0
Economy Communities and Corporate	Follow Up	Main Accounting 2015-16	1	Completed	Non- Opinion	–	–	–	–	–	–
Economy Communities and Corporate	Governance, Fraud & Corruption	Fraud Reviews	1	Contingency		0	0	0	0	0	0
Economy Communities and Corporate	Governance, Fraud & Corruption	NFI 2015-16	1	Completed		–	–	–	–	–	–
Economy Communities and Corporate	ICT	Hardware Asset Management	1	Completed	Reasonable	3	0	0	2	1	0
Information and communication technology	ICT	Public Services Network (PSN) code - assurance compliance	1	Completed	Non Opinion	–	–	–	–	–	–
Economy Communities and Corporate	Key Control	Payroll 2015-16	1	Completed	Partial	17	2	6	9	0	0
Economy Communities and Corporate	Key Control	Pensions - Auto enrolment 2015-16	1	Completed	Partial	2	0	2	0	0	0
Adults Wellbeing Service	Operational	Peer Challenge Benefits Realisation	1	Completed	Reasonable	5	0	0	5	0	0
Children's Wellbeing Service	Operational	Safer Recruitment	1	Completed	Reasonable	5	0	0	4	1	0
Children's Wellbeing Service	Operational	Use of Agency Staff 2015-16	1	Completed	Partial	14	0	6	8	0	0
Adults Wellbeing Service	Operational	Delivery of Projects funded by the Skills Funding Agency 2015-16	1	Completed	Reasonable	4	0	0	4	0	0
Economy Communities and Corporate	Operational	HALO contract	1	Completed	Reasonable	4	0	1	3	0	0
Economy Communities and Corporate	Operational	Home to School Transport	1	Completed	Partial	13	0	4	9	0	0
Children's Wellbeing Service	School	Pupil Premium - school theme report	1	Completed	Non-opinion	–	–	–	–	–	–
Children's Wellbeing Service	School	Trinity Primary School	1	Completed	Substantial	2	0	0	2	0	0
Children's Wellbeing Service	School	The Aconbury Centre	1	Completed	Reasonable	6	0	0	6	0	0
Children's Wellbeing Service	School	Much Marcle C of E Primary School	1	Completed	Reasonable	8	0	0	8	0	0
Children's Wellbeing Service	School	Madley Community Primary School	1	Completed	Reasonable	8	0	0	8	0	0
Children's Wellbeing Service	School	St Marys Church of England Primary School	1	Completed	Reasonable	2	0	0	2	0	0
Children's Wellbeing Service	School	Blackmarston School	1	Completed	Reasonable	4	0	0	4	0	0
Economy Communities and Corporate	Deferred/Removed	Incident and Problem Management	2	Removed		–	–	–	–	–	–
Economy Communities and Corporate	Governance, Fraud & Corruption	Buchanan Trust	2	Completed	Non- Opinion	–	–	–	–	–	–
Economy Communities and Corporate	Governance, Fraud & Corruption	Fraud and Corruption Survey	2	Completed	Non-Opinion	–	–	–	–	–	–
Economy Communities and Corporate	Grant	Local Transport Block Funding/Pothole Fund	2	Completed	Grant Certification	–	–	–	–	–	–

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							1	2	3	4	5
Economy Communities and	ICT	Access Controls - CIVICA and CRM	2	Completed	Reasonable	6	0	1	3	0	0
Economy Communities and Corporate	Operational	Shaw care provider contract	2	Draft Report		0	0	0	0	0	0
Adults Wellbeing Service	Operational	Better Care Fund	2	Drafting Report		0	0	0	0	0	0
Economy Communities and Corporate	Operational	Registrar and Coroners	2	Completed	Reasonable	4	0	1	3	0	0
Economy Communities and Corporate	Operational	Modern Records	2	Completed	Partial	0	0	1	10	0	0
Economy Communities and Corporate	Operational	Public Health Investment and Outcomes	2	Discussion Document		0	0	0	0	0	0
Economy Communities and Corporate	Operational	Road Maintenance	2	Draft Report		0	0	0	0	0	0
Children's Wellbeing Service	Deferred/Removed	Troubled Families	3	Removed	-	-	-	-	-	-	-
Economy Communities and Corporate	Follow Up	Council Tax 2015-16	3	Completed	Non-opinion	-	-	-	-	-	-
Economy Communities and Corporate	Follow Up	Housing and Council Tax Benefit	3	Completed	Non-opinion	-	-	-	-	-	-
Economy Communities and Corporate	Follow Up	Treasury Management 2015-16	3	Completed	Non-Opinion	-	-	-	-	-	-
Economy Communities and Corporate	Grant	Gypsy and Traveller Site - Openfields Bromyard	3	Completed	Grant Certification	-	-	-	-	-	-
Economy Communities and Corporate	Grant	Redundant Building Grant	3	Completed	Grant Certification	-	-	-	-	-	-
Children's Wellbeing Service	ICT	LAC and Fostering FWi Projects	3	Completed	Non-Opinion	-	-	-	-	-	-
Economy Communities and Corporate	ICT	PCI Data Security Standard compliance	3	In Progress		0	0	0	0	0	0
Economy Communities and Corporate	ICT	Mobile phone usage and strategy	3	Completed	Partial	9	0	1	8	0	0
Economy Communities and Corporate	Key Control	Accounts Receivable 2015-16	3	Discussion Document	Delayed to qrt 4 at request of client	0	0	0	0	0	0
Economy Communities and Corporate	Key Control	Capital Accounting 2015-16	3	Completed	Partial	8	0	3	4	0	0
Economy Communities and Corporate	Key Control	NNDR	3	Completed	Reasonable	6	0	0	6	0	0
Adults Wellbeing Service	Operational	Deprivation of Liberty (DOLs)	3	In Progress		0	0	0	0	0	0
Economy Communities and Corporate	Operational	Licensing	3	Completed	Partial	11	0	3	8	0	0
Economy Communities and Corporate	Operational	Fastershire BDUK	3	In progress		0	0	0	0	0	0
Economy Communities and Corporate	Operational	Waste Collection Contract	3	Completed	Substantial	2	0	0	2	0	0
Children's Wellbeing Service	Operational	Education, Health and Care plans	3	Draft Report		0	0	0	0	0	0

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Economy Communities and Corporate	Deferred/Removed	Energy Supply Contract	4	Removed - replaced with Midland Heart Care Provision	-	-	-	-	-	-	-
Children's Wellbeing Service	Follow Up	Schools Prevention of Fraud	4	In Progress		0	0	0	0	0	0
Economy Communities and Corporate	Deferred/Removed	Road Maintenance Follow Up	4	Removed - replaced with Initial Contract Management review	-	-	-	-	-	-	-
Economy Communities and Corporate	Grant	Repair and Renew Grant	4	Completed	Grant Certification	-	-	-	-	-	-
Economy Communities and Corporate	ICT	Corporate Services - Digital Channels Project	4	In Progress		0	0	0	0	0	0
	Operational	Initial contract Management review	4	Completed	Non-Opinion	-	-	-	-	-	-
Adults Wellbeing Service	Operational	Direct Payments	4	Draft Report		0	0	0	0	0	0
Adults Wellbeing Service	Operational	Purchasing Strategy and Market Management - Care service	4	Initial Meeting		0	0	0	0	0	0
Economy Communities and Corporate	Operational	Commercial Rents	4	In Progress		0	0	0	0	0	0
Economy Communities and Corporate	Operational	Income review - maximising income - income and charging guidance	4	Initial Meeting		0	0	0	0	0	0
All Directorates	Operational	Commissioning and Procurement	4	In progress		0	0	0	0	0	0
Adults Wellbeing Service	Operational	Social Care Financial Practices	4	In Progress							
Economy Communities and Corporate	Operational	Planning Applications	4	Draft Report		0	0	0	0	0	0
Children's Wellbeing Service	Operational	Contract Management - Children's Wellbeing -	4	In Progress		0	0	0	0	0	0
Adults Wellbeing Service	Operational	Midland Heart Care Provision	4	Draft Report		0	0	0	0	0	0
Economy Communities and Corporate	Special Review	Parking Permits	4	Discussion Document	Non-Opinion	0	0	0	0	0	0
Children's Wellbeing Service	Special Review	Statutory Returns	4	In Progress		0	0	0	0	0	0